

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael Anthony Pugel et al.  
Serial No. : 10/549,258  
Filed : July 27, 2006  
For : APPARATUS AND METHOD FOR DISTRIBUTING SIGNALS BY  
DOWN-CONVERTING TO VACANT CHANNELS  
Examiner : Dominic E. Rego  
Art Unit : 2618

INFORMATION DISCLOSURE STATEMENT

- ☐ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]  
☐ 2 Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]  
☒ 3 Pursuant to 37 CFR 1.97(d)  
[after Final Office Action or Allowance, but prior to payment of issue fee]

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 4 A list of documents on form PTO/SB08a and/or PTO/SB08b together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 5 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]  
☐ 6 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and  
☐ (a) The required certification made in item 8(a) below; **OR**  
☐ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.  
☒ 7 37 CFR 1.97(d): [after Final Office Action or Allowance, but prior to payment of issue fee]; and  
☐ (a) The required certification made in item 8(a) below; **AND**  
☒ (b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.

☐ 8 Certification

- ☐ (a) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ (b) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- ☒ 9 Please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully submitted,  
Michael Anthony Pugel et al.

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**Certificate Of Mailing**

I hereby certify that this correspondence is being electronically submitted to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date: March 27, 2009 Signature: /Kathleen Lyles/  
Kathleen Lyles

**CUSTOMER NO.: 24498**  
**Serial No. 10/549,258**

**PATENT**  
**PU040067**

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